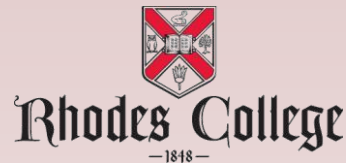


COMP 355

Advanced Algorithms

NP-Completeness: Reductions

Chapter 8 (KT)



Recap

Decision Problems/Language recognition: are problems for which the answer is either yes or no. These can also be thought of as language recognition problems, assuming that the input has been encoded as a string. For example:

$$\begin{aligned}\text{HC} &= \{G \mid G \text{ has a Hamiltonian cycle}\} \\ \text{MST} &= \{(G, c) \mid G \text{ has a MST of cost at most } c\}.\end{aligned}$$

P: is the class of all decision problems which can be solved in polynomial time. While $\text{MST} \in \text{P}$, we do not know whether $\text{HC} \in \text{P}$ (but we suspect not).

Certificate: is a piece of evidence that allows us to *verify* in polynomial time that a string is in a given language. For example, the language HC above, a certificate could be a sequence of vertices along the cycle. (If the string is not in the language, the certificate can be anything.)

NP: is defined to be the class of all languages that can be *verified* in polynomial time. (Formally, it stands for *Nondeterministic Polynomial time*.) Clearly, $\text{P} \subseteq \text{NP}$. It is widely believed that $\text{P} \neq \text{NP}$.

Polynomial-Time Reduction

Purpose. Classify problems according to **relative** difficulty.

Design algorithms. If $X \leq_p Y$ and Y can be solved in polynomial-time, then X **can** also be solved in polynomial time.

Establish intractability. If $X \leq_p Y$ and X cannot be solved in polynomial-time, then Y **cannot** be solved in polynomial time.

Establish equivalence. If $X \leq_p Y$ and $Y \leq_p X$, we use notation $X \equiv_p Y$.

↑
up to cost of reduction

Polynomial-Time Reduction

Suppose we could solve X in polynomial-time. What else could we solve in polynomial time?

don't confuse with reduces from

Reduction. Problem X **polynomial reduces to** problem Y if arbitrary instances of problem X can be solved using:

- Polynomial number of standard computational steps, plus
- Polynomial number of calls to oracle that solves problem Y .

Notation. $X \leq_p Y$.

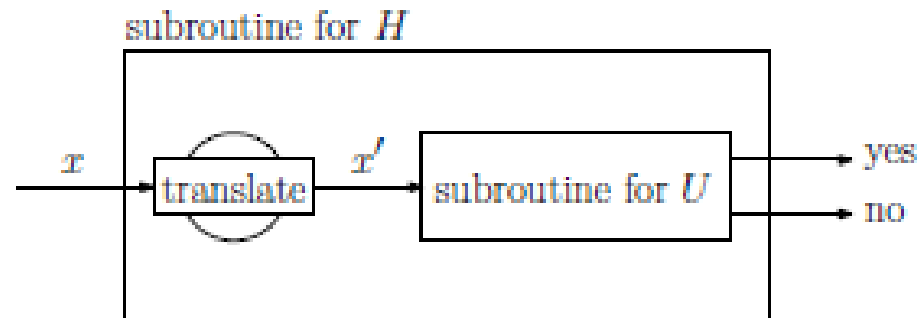
↑
computational model supplemented by special piece of hardware that solves instances of Y in a single step

Remarks.

- We pay for time to write down instances sent to black box \Rightarrow instances of Y must be of polynomial size.

Reductions

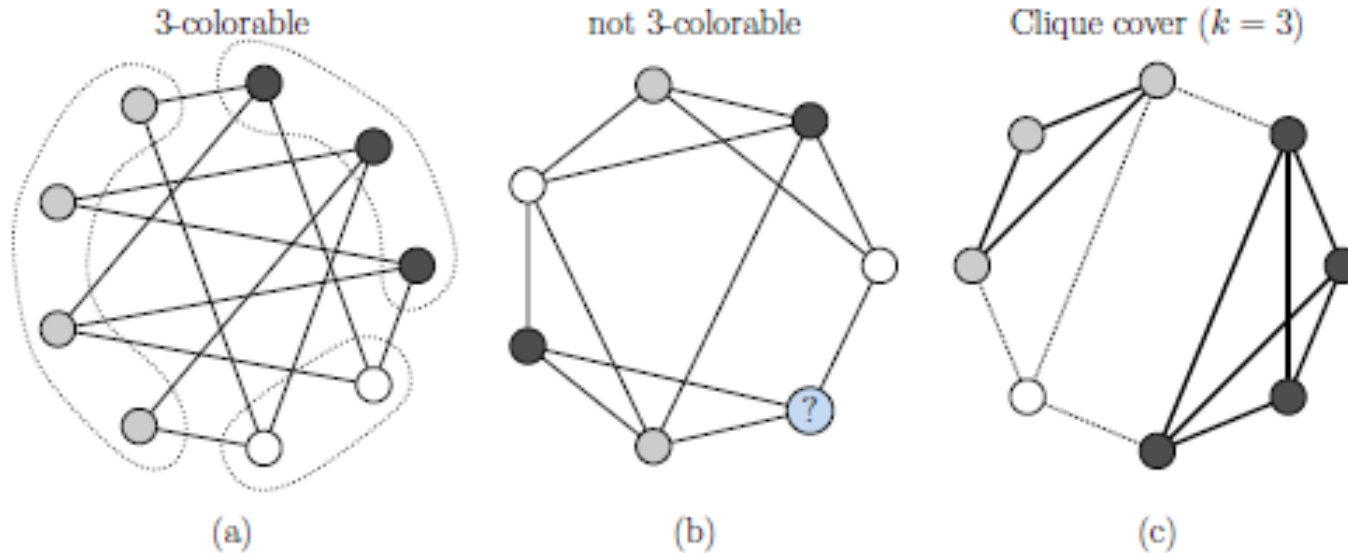
- Suppose we have a **subroutine** that can solve any instance of problem U in polynomial time.
- Given an input x for the problem H , translate it into an equivalent input x' for U . (where $x \in H$ if and only if $x' \in U$)
- Run subroutine on x' and output whatever it outputs. If U is solvable in polynomial time, then so is H .
- We assume that the translation module runs in polynomial time. If so, we say we have a polynomial reduction of problem H to problem U , which is denoted $H \leq_p U$ (**Karp reduction**)



Reducing H to U

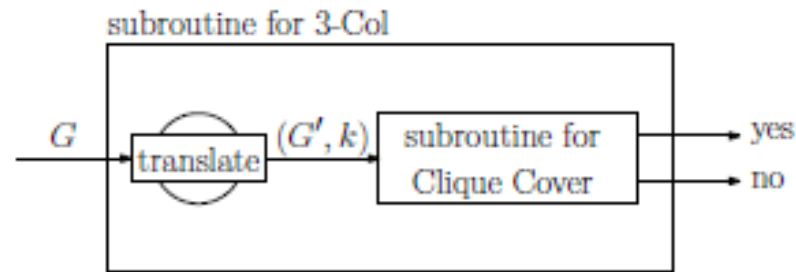
3-Colorability and Clique Cover

3-coloring (3Col): Given a graph G , can each of its vertices be labeled with one of three different “colors”, such that no two adjacent vertices have the same label (see (a) and (b)).

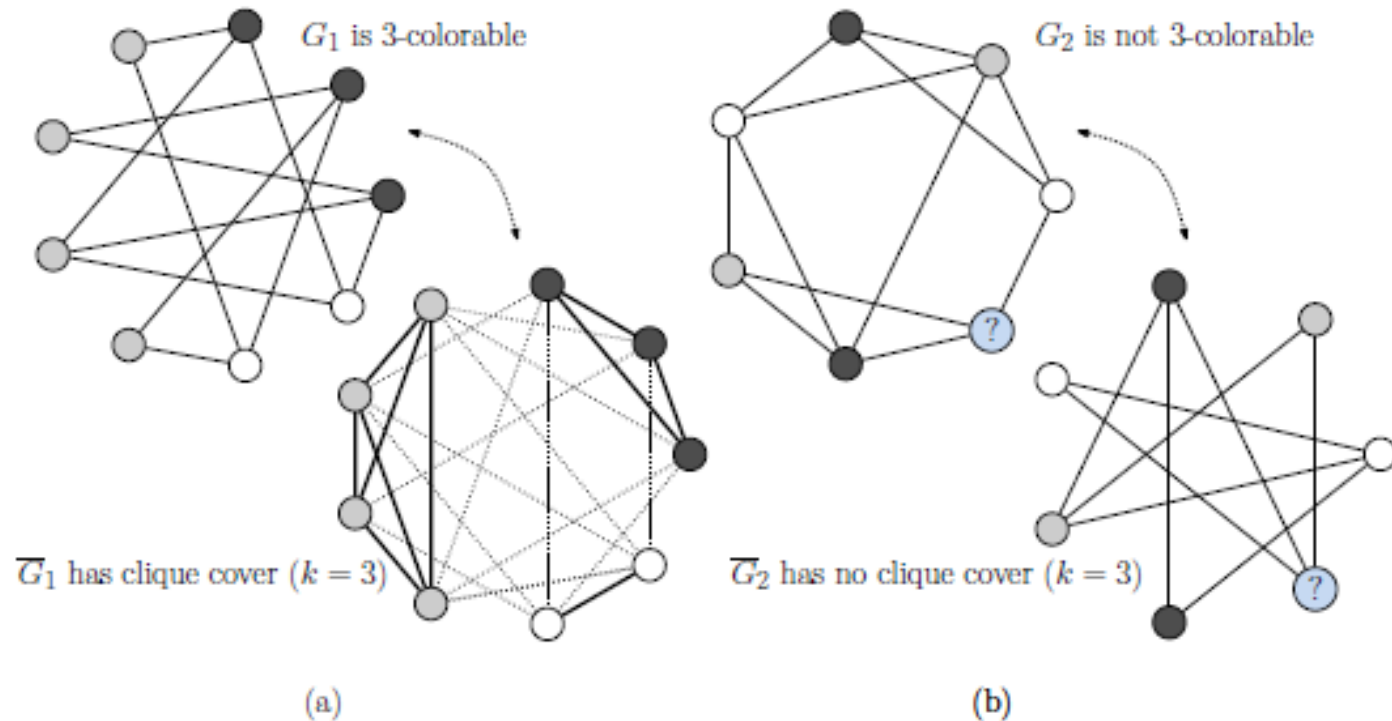


Clique Cover (CCov): Given a graph $G = (V, E)$ and an integer k , can we partition the vertex set into k subsets of vertices V_1, \dots, V_k such that each V_i is a clique of G

3-Colorability and Clique Cover



Reducing 3Col to CliqueCov



Proof of 3Col \rightarrow Clique Cover

Claim: A graph $G = (V, E)$ is 3-colorable if and only if its complement $\bar{G} = (V, \bar{E})$ has a clique-cover of size 3. In other words, $G \in 3\text{Col} \iff (\bar{G}, 3) \in \text{CCov}$.

Proof:

(\Rightarrow) If G is 3-colorable, then let V_1, V_2, V_3 be the three color classes. We claim that this is a clique cover of size 3 for \bar{G} , since if u and v are distinct vertices in V_i , then $\{u, v\} \notin E$ (since adjacent vertices cannot have the same color) which implies that $\{u, v\} \in \bar{E}$. Thus every pair of distinct vertices in V_i are adjacent in \bar{G} .

(\Leftarrow) Suppose \bar{G} has a clique cover of size 3, denoted V_1, V_2, V_3 . For $i \in \{1, 2, 3\}$ give the vertices of V_i color i . We assert that this is a legal coloring for G , since if distinct vertices u and v are both in V_i , then $\{u, v\} \in \bar{E}$ (since they are in a common clique), implying that $\{u, v\} \notin E$. Hence, two vertices with the same color are not adjacent.

Polynomial-time reduction

Definition: We say that a language (i.e. decision problem) L_1 is polynomial-time reducible to language L_2 (written $L_1 \leq_p L_2$) if there is a polynomial time computable function f , such that for all x , $x \in L_1$ if and only if $f(x) \in L_2$.

Lemma: If $L_1 \leq_p L_2$ and $L_2 \in P$ then $L_1 \in P$.

Lemma: If $L_1 \leq_p L_2$ and $L_1 \notin P$ then $L_2 \notin P$.

Because the composition of two polynomials is a polynomial, we can chain reductions together.

Lemma: If $L_1 \leq_p L_2$ and $L_2 \leq_p L_3$ then $L_1 \leq_p L_3$.

NP-completeness

Definition: A language L is NP-hard if $L' \leq_p L$, for all $L' \in NP$.
(Note that L does not need to be in NP .)

Definition: A language L is NP-complete if:

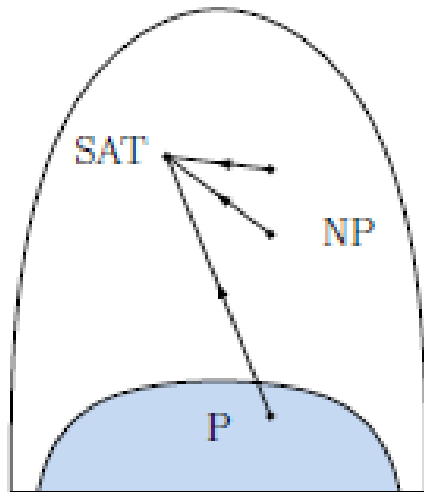
1. $L \in NP$ (that is, it can be verified in polynomial time), and
2. L is NP-hard (that is, every problem in NP is polynomially reducible to it).

Lemma: L is NP-complete if

1. $L \in NP$ and
2. $L' \leq_p L$ for some known NP-complete language L' .

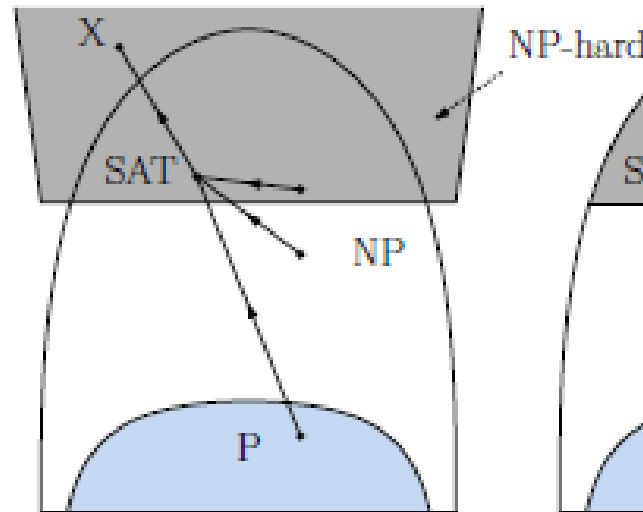
Structure of NPC and reductions

All problems in NP are reducible to SAT



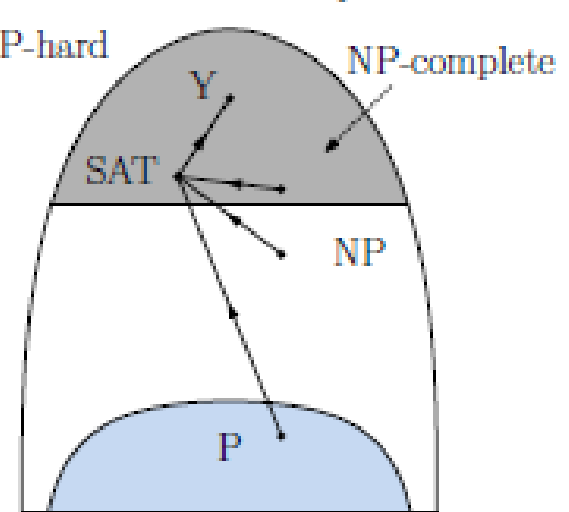
(a)

If $SAT \leq_P X$ then X is NP-hard



(b)

If $Y \in NP$ and $SAT \leq_P Y$ then Y is NP-complete



(b)